

2817

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                        |
|------------------------|------------------------|
| Application Number     | 10/028,922             |
| Filing Date            | 12/19/2001             |
| First Named Inventor   | Daniel Hoffman, et al. |
| Group Art Unit         | 2817                   |
| Examiner Name          | Unknown                |
| Attorney Docket Number | 4097 P02               |

Total Number of Pages in This Submission

4

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

Remarks

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TECHNOLOGY CENTER 2800

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Robert M. Wallace Reg. No. 29,119

Signature

*Robert M. Wallace*

Date

07/03/2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

July 3, 2003

Typed or printed name

Shelly Hart

Signature

*Shelly Hart*

Date

07/03/2003

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**CERTIFICATE OF MAILING**

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on JUL 3, 2003 Date of Deposit  
07-03-03 Shelly Hart  
 Date Name



PATENT

Attorney Docket No. 4097 P02  
 M&W No. APM/063-99-CP1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|  |                        |
|--|------------------------|
| In re Application of:                  | )                      |
| Daniel Hoffman, et al.                 | ) Group Art Unit: 2817 |
|  | )                      |
| Entitled: PLASMA REACTOR WITH OVERHEAD | ) Examiner: Unknown    |
| RF ELECTRODE TUNED TO THE PLASMA       | )                      |
|  | )                      |
| Serial No.: 10/028,922                 | )                      |
|  | )                      |
| Filing Date: December 19, 2001         | )                      |

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)**

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of each form be returned to the undersigned.

No representation is made that more pertinent material is not available or should not be considered by the Examiner. It is expected that the Patent and Trademark Office will independently conduct a complete search of appropriate art. Furthermore, no admission is being made that these documents are prior art, and applicant reserves the right to challenge any such consideration.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures, section 609 and 707.05. If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

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A copy of each document is enclosed unless indicated otherwise. Some of the documents may have markings on them. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Respectfully submitted,



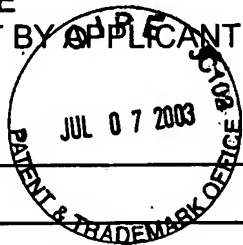
Dated: July 3, 2002

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INFORMATION  
DISCLOSURE  
STATEMENT BY APPLICANT

Sheet 1 of 1



Application Number: 10/028,922  
Filing Date: December 19, 2001  
First Named Inventor: Daniel HOFFMAN, et al.  
Group Art Unit: 2817  
Examiner Name: Unknown  
Attorney Docket Number: 4097 P02

U. S. PATENT DOCUMENTS

| Examiner<br>Initials | Document No     | Publication Date | Name of Patentee or<br>Applicant of Cited<br>Document | Class | Subclass | Filing Date |
|----------------------|-----------------|------------------|---|-------|----------|-------------|
|                      | US-5,401,351    | 03-28-1995       | SAMUKAWA  | 156   | 345      | 01-27-1994  |
|                      | US-6,245,190 B1 | 06-11-2001       | MASUDA ET AL.   | 156   | 345      | 03-26-1998  |
|                      | US-6,451,703 B1 | 009-17-2002      | LIU ET AL.  | 438   | 710      | 03-10-2000  |
|                      | US-6,528,751 B1 | 03-04-2003       | HOFFMAN ET AL.  | 219   | 121.43   | 03-17-2000  |
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|                      |                 |                  |   |       |          |             |
|                      |                 |                  |   |       |          |             |

FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials | Foreign Patent<br>Document | Publication Date | Country | Name of Patentee or Applicant of<br>Cited Document | Translation?<br>(Yes/No/n/a) |
|----------------------|----------------------------|------------------|---------|--|------------------------------|
|                      |                            |                  |         |  |                              |
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|                      |                            |                  |         |  |                              |

NON PATENT LITERATURE DOCUMENTS

| Examiner<br>Initials |  |
|----------------------|--|
|                      |  |
|                      |  |
|                      |  |

Examiner's Signature:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.